



09-16-02

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/047,080
		Filing Date	1-14-02
		First Named Inventor	John Batscock
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John Batscock
Signature	
Date	9-14-02

### CERTIFICATE OF MAILING

EX-#EU7153364

81  
US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	John Batscock
Signature	
Date	9-14-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



TO: USPTO  
9/14/02

FROM: John Babcock  
(801) 745-0902/FAX (801) 745-4321

CLARIFICATION OF MISSING PARTS REQUEST FOR USPTO # 10/047080

The attached copy of the missing parts request for the above referenced patent application conflicts with the funds and letter that were sent to the PTO on June 14, 2002. The drawings and Abstract are included as requested. The enclosed copy of the post card that I received from the PTO shows that the PTO did receive the package as indicated by the stamp of the PTO on the back of the card. Please explain why additional funds are now required.

Sincerely,

A handwritten signature in black ink, appearing to read "John Babcock".

John Babcock, Inventor

June 13, 2002

To: Commissioner for Patents

From : John Babcock  
510S. 7500E.  
Huntsville, Utah 84317

Re: Missing Parts and Fees for pat. Application number 10/047,080  
(PCT/US01/05733)

On March 4, 2002 I sent a substitute specification and letter of explanation to the PTO for the above referenced pat. Application that was sent to the PTO on January 14, 2002. The enclosed copy of the post card that was received by the PTO is included. I have never received any correspondence other than the post card to indicate that the PTO had received the sub. Spec. request. Following the mailing of the sub. Spec. to the PTO I did however, receive the filing receipt for the Jan. 14, 2002 application (copy also included) which stated that I send \$191 to the PTO. Please cancel the 3 independent claims and accept the enclosed \$65 small entity fee that was not included in the Jan. 14, 2002 application. That amount is enclosed along with the associated forms. I recently talked to a staff member of the PTO who was nice enough to verify that the PTO had indeed received the sub. Spec. although they did not respond as I had expected.

I hope that this will complete the initial application phase for the above referenced application.

Sincerely,



John Babcock

801 745 0902



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/047,080	01/14/2002	3635	777			30	58

**CONFIRMATION NO. 5351**

John W. Babcock  
510 South 7500 East  
Huntsville, UT 84317

**FILING RECEIPT**



\*OC000000008463696\*

Date Mailed: 07/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

John W. Babcock, Huntsville, UT;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/261,486 01/13/2001  
AND IS A CIP OF PCT/US01/05733 02/22/2001  
WHICH CLAIMS BENEFIT OF 60/184,049 02/22/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Synthetic deformed bars and retaining walls

**Preliminary Class**

052

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,080	01/14/2002	John W. Babcock	

John W. Babcock  
510 South 7500 East  
Huntsville, UT 84317

**CONFIRMATION NO. 5351**

### FORMALITIES LETTER



\*OC00000008463832\*

Date Mailed: 07/17/2002

### **NOTICE TO FILE CORRECTED APPLICATION PAPERS**

#### ***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

#### **Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$126 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$126 for a Small Entity

- Total additional claim fee(s) for this application is \$126
  - \$126 for 3 independent claims over 3.

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*A copy of this notice **MUST** be returned with the reply.*

*Lucky*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,080	01/14/2002	John W. Babcock	

John W. Babcock  
510 South 7500 East  
Huntsville, UT 84317

**CONFIRMATION NO. 5351**

**FORMALITIES LETTER**



\*OC00000008463832\*

Date Mailed: 07/17/2002

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

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**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$126 for a Small Entity

- Total additional claim fee(s) for this application is **\$126**
  - **\$126** for 3 independent claims over 3.

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*A copy of this notice **MUST** be returned with the reply.*

*Lulu*

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PART I - ATTORNEY/APPLICANT COPY